

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 16698.11.18

David Dillon

Application No.: (NEW)  
(based on International Application No. PCT/US2004/036751, filed 11/03/2004)

Filed: (CONCURRENTLY HEREWITH)

For: AUTHENTICATION AND TRACKING SYSTEM

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INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with the duty of disclosure, a completed Form PTO-1449 is enclosed.

Consideration of each of the listed references by the Examiner is respectfully requested.

Applicant also respectfully requests that an initialed copy of Form PTO-1449 indicating such consideration be returned with the next Official Action, as set forth in MPEP 609.

Copies of all references can be found in applicant's parent application No.

10/701,377. No additional copies of the references are enclosed herewith.

This information is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

By submitting these references, applicant does not admit that the references are prior art to or material to this application, and reserves the right to establish that any reference is not prior art. Applicant does not represent that the references have been reviewed in detail; there may be details in the references of which applicant is unaware.

The Commissioner is hereby authorized to charge any filing fees required to Deposit  
Account No. 061910. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



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Registration No. 52,097

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*The Commissioner is hereby authorized to grant any extensions of time and to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required during the entire pendency of this application to Deposit Account No. 06-1910.*

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 563872904 US Date of Deposit: May 2, 2006. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop PCT, PO Box 1450, Alexandria, VA 22313-1450.

Deborah L. Maday

Name of Person Making Deposit



Signature

FORM PTO-1449 MODIFIED

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATIONAPPLICATION NO.: (NEW) 10/578037  
FILING DATE: (CONCURRENTLY HEREWITH)  
FIRST NAMED INVENTOR: David Dillon  
GROUP ART UNIT:  
ATTORNEY DOCKET NO.: 16698.11.18

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Document No. Number-Kind Code <sup>2</sup>	Date DD-MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,442,276	08/27/2002	Doljack	
		2004/0034579	02/19/2004	Xu, et al.	
		6,226,619	05/01/2001	Halperin, et al.	
		6,246,778	06/12/2001	Moore	
		6,069,955	05/30/2000	Coppersmith, et al.	
		5,979,941	11/09/1999	Mosher, Jr., et al.	
		5,895,073	04/20/1999	Moore	
		5,762,377	06/09/1998	Chamberlain	
		5,360,628	11/01/1994	Butland	
		5,249,044	09/28/1993	Von Kohorn	
		5,160,171	11/03/1992	Gregory, et al.	
		5,788,669	08/04/1998	Peterson	
		5,283,422	02/01/1994	Storch, et al.	
		2003/141358	07/31/2003	Hudson	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date DD-MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

## NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Document	T <sup>2</sup>
		SNAP! Serial Number Application, Inc. [online], How do Software Companies Effectively Use Serial Numbers? [retrieved on 2002-05-02] retrieved from the internet <a href="http://www.snapinc.com/Pages/faq.html">http://www.snapinc.com/Pages/faq.html</a>	<input checked="" type="checkbox"/>
		Brand Protection – Global Services – De La Rue [online], Track and Trace/SCANet [retrieved on 2002-06-12] retrieved from the internet <a href="http://www.delarue.com/global/bps/technology/portfolio/track/track.asp">http://www.delarue.com/global/bps/technology/portfolio/track/track.asp</a>	<input type="checkbox"/>
		Product Authentication (sphinx system) [online] [retrieved on 2/27/04] retrieved from the internet <a href="http://www.sphinx-system.com/menu.htm">www.sphinx-system.com/menu.htm</a>	<input type="checkbox"/>
			<input type="checkbox"/>

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Examiner	Cite	Document No.	Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where
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## DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

FORM PTO-1449 MODIFIED

# **INFORMATION DISCLOSURE CITATION IN AN APPLICATION**

APPLICATION NO.: (NEW)

FILING DATE: (CONCURRENTLY HEREWITH)

FIRST NAMED INVENTOR: David Dillon

GROUP ART UNIT:

ATTORNEY DOCKET NO.: 16698.11.18

10/578037

Initials	No. <sup>1</sup>	Number-Kind Code <sup>2</sup>	DD-MM-YYYY	of Cited Document	Relevant Passages or Relevant Figures Appear
		5,966,205	10/12/1999	Jung, et al.	
		6,131,718	10/17/2000	Witschorik	
		6,038,016	03/14/2000	Jung, et al.	
		6,039,249	03/21/2000	Szewczykowski	
		5,974,150	10/26/1999	Kaish, et al.	
		6,119,946	09/19/2000	Teicher	
		5,517,336	05/14/1996	Molee	
		5,380,047	01/10/1995	Molee, et al.	
		5,267,756	12/07/1993	Molee, et al.	

## **FOREIGN PATENT DOCUMENTS**

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						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	AVID identification technology for today and tomorrow [online] [retrieved on 6/17/02] retrieved from the internet <a href="http://www.avidplc.com/assettrac/how.htm">http://www.avidplc.com/assettrac/how.htm</a>	T <sup>2</sup>
		Software and Media Copy Protection Background [online] Protected Media [retrieved on 4/24/02] retrieved from the internet <a href="http://www.power-t.com/copy_protect.html">http://www.power-t.com/copy_protect.html</a>	<input type="checkbox"/>
		Tagged Asset Management [online] Tag & Trace Sending Signals for Smarter Business [retrieved on 4/24/02] retrieved from the internet <a href="http://www.climarque.co.uk/passive/security.htm">http://www.climarque.co.uk/passive/security.htm</a>	<input type="checkbox"/>
		Heritage and Arts Company Profile [online] Biocode Ltd [retrieved on 2/27/04] retrieved from the internet <a href="http://www.bioscienceyork.org.uk/members/Company_Details.cfm?CompanyID=1999">http://www.bioscienceyork.org.uk/members/Company_Details.cfm?CompanyID=1999</a>	<input type="checkbox"/>

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		Hitachi, Ltd., Solutions for manufacturing, distribution, tracking, secure ID management and more; Power-Point presentation handout; February 2002.				
		CODEL, Validation Reference (VR)[online] [retrieved on 2/27/04] retrieved from the internet <a href="http://www.authentic1.com/a1/howitworks/vr.htm">http://www.authentic1.com/a1/howitworks/vr.htm</a>				<input type="checkbox"/>
		CODEL, The VR Tests [online] [retrieved on 2/27/04] retrieved form the internet <a href="http://www.authentic1.com/a1/howitworks/vrtests.htm">http://www.authentic1.com/a1/howitworks/vrtests.htm</a>				<input type="checkbox"/>
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